



FRANKLIN  
TEMPLETON

# Non-Retirement Asset Transfer Form

## IMPORTANT INFORMATION:

- Use this form to either: **redeem/liquidate** non-retirement assets you currently own with another financial institution and have the liquidation proceeds sent to Franklin Templeton to purchase shares of one or more Franklin Templeton mutual funds; or **transfer in kind** non-retirement Franklin Templeton mutual fund shares you currently hold at another financial institution and have the Franklin Templeton mutual fund shares transferred to Franklin Templeton.
- If you are requesting to redeem/liquidate assets and have the proceeds sent to Franklin Templeton to purchase shares of one or more Franklin Templeton mutual funds, *this may be a taxable event*.
- Do **NOT** use this form to transfer **certificates of deposit (CDs) or annuities**.
- Do **NOT** use this form to transfer any **retirement plan assets**; please use the Franklin Templeton **IRA Transfer/Rollover Request Form**.

## TO TRANSFER ASSETS HELD AT ANOTHER INSTITUTION TO FTIS

- Complete this form in its entirety. (A separate form must be completed for each plan type).
- Include a completed Franklin Templeton **Account Application** if you are opening a new account.
- Include a copy of your most recent account statement(s) provided by the financial institution where your assets or Franklin Templeton mutual fund shares are currently held.
- Original documents are often preferred by the current custodian. We recommend you mail completed documentation to the address listed on this form.

## CURRENT INSTITUTION REQUIREMENTS

- Missing requirements may delay or prevent the transfer from initiating. To expedite your request, check with the CURRENT INSTITUTION for any other requirements or documents, such as:
  - Liquidation of assets
  - Outstanding transfer fees (exit fees) that may be owed
  - Other mandatory documents or forms
  - Signature Guarantee/STAMP Medallion

If completing by hand, please print clearly in CAPITAL LETTERS using blue or black ink.

If applicable, provide any Franklin Templeton CASE NUMBER(S) related to your request:

## 1 ACCOUNT REGISTRATION WITH THE RELEASING FINANCIAL INSTITUTION

First name  M.I.  Last name  Suffix  SSN/ITIN

Joint owner name (if applicable)  Trust name (if applicable)

Additional registration information

Primary phone number  Alternate phone number

## 2 CONTACT INFORMATION FOR THE RELEASING FINANCIAL INSTITUTION

Name of releasing financial institution  Contact name (if applicable)

Street address of residence (no P.O. Box address)  City  State  ZIP

Primary phone number  Fax number

**Note:** Please verify that the current institution will accept a copy of this form.

### 3 INSTRUCTIONS TO THE RELEASING FINANCIAL INSTITUTION

**RELEASING INSTITUTION:** The customer(s) signing in Section 5 is (are) requesting you to redeem/liquidate/transfer in kind the investment(s) listed below. Franklin Templeton mutual fund shares should be transferred in kind through the National Securities Clearing Corporation (NSCC). All other securities should be liquidated in accordance with instructions and authorization provided by your client(s) and proceeds sent by check payable to Franklin Templeton, FBO Name of Account Owner(s). Mail the check(s) to one of the addresses listed at the bottom of page 4.

#### 3A INVESTMENTS TO BE REDEEMED/LIQUIDATED

| Name of investment to be redeemed/liquidated | Account number |                                 | Dollar amount |    | Percentage |    | Share amount |
|--|----------------|---------------------------------|---------------|----|------------|----|--------------|
|  |                | <input type="checkbox"/> ALL OR | \$            | OR | %          | OR |              |
|  |                | <input type="checkbox"/> ALL OR | \$            | OR | %          | OR |              |
|  |                | <input type="checkbox"/> ALL OR | \$            | OR | %          | OR |              |
|  |                | <input type="checkbox"/> ALL OR | \$            | OR | %          | OR |              |

IF YOU WOULD LIKE TO REDEEM/LIQUIDATE ADDITIONAL INVESTMENTS, PROVIDE THE INFORMATION ON A SEPARATE SHEET.

#### 3B INVESTMENTS TO BE TRANSFERRED IN KIND (NSCC)

| Name of investment to be transferred in kind (NSCC) | Account number |                                 | Dollar amount |    | Percentage |    | Share amount |
|---|----------------|---------------------------------|---------------|----|------------|----|--------------|
|   |                | <input type="checkbox"/> ALL OR | \$            | OR | %          | OR |              |
|   |                | <input type="checkbox"/> ALL OR | \$            | OR | %          | OR |              |
|   |                | <input type="checkbox"/> ALL OR | \$            | OR | %          | OR |              |
|   |                | <input type="checkbox"/> ALL OR | \$            | OR | %          | OR |              |

IF YOU WOULD LIKE TO TRANSFER IN KIND ADDITIONAL INVESTMENTS, PROVIDE THE INFORMATION ON A SEPARATE SHEET.

### 4 INVESTMENT ALLOCATION INSTRUCTIONS TO FRANKLIN TEMPLETON

**NOTE:** If no dollar amount or percentage is indicated below, your funds will be apportioned equally among all selected funds. Class A shares will be purchased if no class of shares is selected.

Select **ONE** of the following options:

☐ Invest the liquidation proceeds or Franklin Templeton transfer in kind shares into a new Franklin Templeton account(s). Refer to the attached Franklin Templeton *Account Application* for allocation instructions.

OR

☐ Invest the liquidation proceeds or Franklin Templeton transfer in kind shares into my existing Franklin Templeton fund account(s) per the allocation instructions below:

| Franklin Templeton fund name | Share class | Fund-Account number | Dollar amount |    | Percentage |
|------------------------------|-------------|---------------------|---------------|----|------------|
|                              |             |                     | \$            | OR | %          |
|                              |             |                     | \$            | OR | %          |
|                              |             |                     | \$            | OR | %          |
|                              |             |                     | \$            | OR | %          |

IF YOU WOULD LIKE TO INVEST IN ADDITIONAL FUNDS, PROVIDE THE INFORMATION ON A SEPARATE SHEET.

All registered owners of the investments in Section 3 must sign below. If required by the releasing financial institution, all registered owners must have their signature guaranteed.

**BY SIGNING BELOW, I HEREBY:**

- Authorize the releasing financial institution identified in Section 2 to redeem/liquidate the investment(s) identified in Section 3 and to send the proceeds of the sale (liquidation) of the investment(s) by check to Franklin Templeton, or transfer in kind the Franklin Templeton mutual fund shares identified in Section 3 to Franklin Templeton through the National Securities Clearing Corporation (NSCC).
- Acknowledge that the releasing financial institution identified in Section 2 may require the completion of additional forms or processing requirements to permit the sale (liquidation) of the investment(s) or the transfer in kind of the Franklin Templeton mutual fund shares identified in Section 3, and completion of my request is dependent upon the releasing financial institution identified in Section 2 accepting and acting upon my request to redeem/liquidate the investment(s) or transfer in kind the Franklin Templeton mutual fund shares identified in Section 3.
- Authorize the releasing financial institution identified in Section 2 to deduct from my account(s) currently held with the releasing financial institution any fees, costs or other charges that result from the sale (liquidation) of the investment(s) or transfer in kind of the Franklin Templeton mutual fund shares identified in Section 3, and I agree that the Franklin Templeton mutual funds (the "Funds"), the Funds' transfer agent Franklin Templeton Investor Services, LLC ("FTIS"), FTIS' affiliates, as well as the officers, directors, employees and agents of these entities (collectively "Franklin Templeton") are not responsible for any fees, costs or other charges that result from the sale (liquidation) of the investment(s) or transfer in kind of the Franklin Templeton mutual fund shares identified in Section 3.
- Acknowledge that the sale (liquidation) of the investment(s) identified in Section 3 may be a taxable event that may result in certain tax or other implications, including but not limited to capital gains, capital losses, penalties and fees for which I am responsible and I agree that Franklin Templeton is not responsible for any taxes, penalties or other fees or charges resulting from the transaction(s) I authorized the releasing financial institution identified in Section 2 to carry out.
- Authorize the proceeds received by Franklin Templeton be used to purchase shares of the Franklin Templeton mutual fund(s) identified in Section 4 and any accompanying Franklin Templeton Account Application.
- Agree to indemnify and hold harmless Franklin Templeton for any losses or costs resulting from (i) a change in the market value of my investment(s) identified in Section 3 between the time I deliver this form to FTIS and it subsequently delivers the form to the releasing financial institution identified in Section 2; and/or (ii) any failure by the releasing financial institution identified in Section 2 to redeem/liquidate the investment(s) identified in Section 3 or to send the proceeds to Franklin Templeton; and/or (iii) the releasing financial institution's delay in sending the proceeds to Franklin Templeton; and/or (iv) any failure by the releasing financial institution identified in Section 2 to initiate a transfer in kind of the Franklin Templeton mutual fund shares identified in Section 3 through the National Securities Clearing Corporation (NSCC); and/or (v) the releasing financial institution's delay in transferring the Franklin Templeton mutual fund shares identified in Section 3 through the National Securities Clearing Corporation (NSCC).

SIGNATURE

X

Date

SIGNATURE

X

Date

**SIGNATURE GUARANTEE OR MEDALLION GUARANTEE STAMP<sup>1</sup>**  
(if required by the releasing financial institution, not required by FTIS)

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SIGNATURE

X

Date

SIGNATURE

X

Date

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(if required by the releasing financial institution, not required by FTIS)

**IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT**

☐ Me

☐ My financial professional

Financial professional name

Phone number of financial professional

( )

1. Please have each signature separately guaranteed by a bank, savings and loan association, trust company, credit union, broker-dealer, or any other "eligible guarantor institution" as defined under the rules adopted by the Securities and Exchange Commission. These institutions often participate in signature guarantee medallion programs such as the Securities Transfer Agent Medallion Program (STAMP). A notary public cannot provide a signature guarantee.

(continued)

Questions? Contact your financial professional, visit [franklintempleton.com](http://franklintempleton.com) or call us at (800) 632-2301.

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BEFORE YOU SUBMIT...

DID YOU PROVIDE?

- ☐ A typed or handwritten form in capital letters using blue or black ink.
- ☐ A Franklin Templeton case number related to your request on page 1 (if you were provided with one).

SECTION 1

Information for the Account Owner at the releasing financial institution:

- ☐ Full first and last name
- ☐ Social Security Number/ITIN

SECTION 2

- ☐ Contact information for the releasing financial institution

SECTION 3

- ☐ Liquidation or transfer in kind instructions for the releasing financial institution

SECTION 4

- ☐ Indicate your investment allocations
- ☐ Fund name(s) and share class (if Class C is selected, a Broker-Dealer is required)
- ☐ If opening a new account, did you include a completed Franklin Templeton Account Application?

SECTION 5

- ☐ The signature(s) of the Account Owner(s) listed in Section 1 and date signed
- ☐ Signature guarantee stamp (if required by the releasing financial institution)

ADDITIONAL DOCUMENTATION

- ☐ Did you include a copy of a recent account statement(s) from the releasing financial institution?
- ☐ Did you contact the financial institution to determine if any additional forms are required?

MAKE A PHOTOCOPY OF THE COMPLETED FORM FOR YOUR RECORDS

IMPORTANT: If an original signature guarantee or notary is required you may NOT email or fax your documents.

| EMAIL  | FAX                   | MAIL   |
|--|-----------------------|--|
| <ul style="list-style-type: none"><li>Emails <b>MUST</b> include an attachment (PDF preferred) of your request.</li><li>Sender's email address <b>MUST</b> match the email address on file, or the email <b>MUST</b> include a related case number(s) to be accepted.</li><li>Digital communication channels are not necessarily secure. If you do choose to send confidential or sensitive information to us via digital communication channels (e.g., email, chat, text messaging, fax), you are accepting the associated risks related to potential lack of security, such as the possibility that your confidential or sensitive information may be intercepted/accessed by a third party and subsequently used or sold.</li><li>If you have not been registered on franklintempleton.com for at least 15 calendar days, call (800) 632-2301 to request a case number to reference in your email.</li></ul> <p><b>Financial Professionals:</b> ftrequests.us.franklintempleton@fisglobal.com</p> <p><b>Shareholders:</b> shrequests.us.franklintempleton@fisglobal.com</p> | <p>(855) 891-8377</p> | <p>You may use any of the below mailing addresses:</p> <p><b>Regular Mail</b></p> <ul style="list-style-type: none"><li>Franklin Templeton<br/>P.O. Box 33030<br/>St. Petersburg, FL 33733-8030</li></ul> <p><b>Overnight</b></p> <ul style="list-style-type: none"><li>Franklin Templeton<br/>100 Fountain Parkway N.<br/>St. Petersburg, FL 33716-1205</li></ul> |